



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		<b>Application Number</b>	<b>10/613,481</b>
		<b>Filing Date</b>	<b>07/03/2003</b>
		<b>First Named Inventor</b>	<b>Will SHATFORD</b>
		<b>Group Art Unit</b>	<b>TBA</b>
		<b>Examiner Name</b>	<b>TBA</b>
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	<b>24528-71531</b>

<b>ENCLOSURES (check all that apply)</b>		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>  <input type="checkbox"/> Drawing(s) – Figs.  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> Cited References #s A through I; return postcard.
<b>Remarks:</b>		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
<b>Firm or Individual Name</b>	<b>Evelyn H. McConathy, Reg. No. 35,279</b>	
<b>Signature</b>	<i>Evelyn H. McConathy</i>	
<b>Date</b>	<b>March 3, 2004</b>	

<b>CERTIFICATE OF EXPRESS MAILING</b>		
I hereby certify that this correspondence is being deposited with the United States Postal Service with Express Mail Label No. <b>EV223814193US</b> in an envelope addressed to: Mail Stop IDS; Commissioner for Patents, PO Box 1450, Alexandria, VA 22313 on this date: <b>March 3, 2004</b> .		
<b>Typed or printed name</b>	<b>Debra A. Coccia</b>	
<b>Signature</b>	<i>Debra A. Coccia</i>	<b>Date: March 3, 2004</b>



Attorney Docket No. 24528-71531

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In Re: Application of:**  
Will SHATFORD

**Application No.:** 10/613,481

**Group Art Unit:** TBA

**Filed:** 07/03/2003

**Examiner:** TBA

**Title:** Biometric Based Authentication System with Random Generated PIN

Mail Stop IDS  
Assistant Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313

<b><u>CERTIFICATE OF MAILING VIA</u></b>
<b><u>U.S. EXPRESS MAIL</u></b>
Express Mail Label No.
EV223814193US
Date of Deposit: <u>March 3, 2004</u>
Signature: <u>Debra A. Coccia</u>
Debra A. Coccia

**INFORMATION DISCLOSURE UNDER 37 CFR 1.97(b)**

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449.

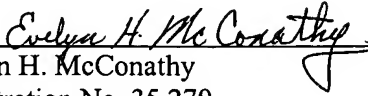
No fee or certification is required in connection with this Information Disclosure, since it is being submitted prior to the last of 1) issuance of a first Office Action on the merits, or 2) expiration of the three-month period following filing of the above-identified application.

It is respectfully requested that the information be considered by the Examiner and that a copy of the attached Form PTO-1449 be returned indicating that such information has been considered.

In the event any fees are required in connection with this paper, please charge Deposit Account No.: 50-0979. A copy of this document is enclosed.

Applicants' undersigned attorney may be reached by telephone at (215) 575-7034. All correspondence should be directed to the below-listed address.

Respectfully submitted,

  
Evelyn H. McConathy  
Registration No. 35,279

Date: **March 3, 2004**  
DILWORTH PAXSON LLP  
3200 Mellon Bank Center  
1735 Market Street  
Philadelphia, PA 19103-7595  
Tel. (215) 575-7000  
Fax (215) 575-7200

U.S. Department of Commerce

Date Filed: March 3, 2004

DOCKET NO. 24528-71531US

APPLN. NO. 10/613,481

APPLICANT: Will SHATFORD

FILING DATE: 07/03/2003

GROUP TBA

## U.S. PATENT DOCUMENTS

Initial		Document Number	Date	Name	Class	Subclass	Filing Date if appropriate
	1	4,582,985	04/1986	LOFBERG			
	2	4,614,861	09/1986	ABA-JBARA, et al.			
	3	4,896,363	01/1990	TAYLOR			
	4	4,993,068	02/1991	PIOSENKA, et al.			
	5	5,426,708	06/1995	HAMADA, et al.			
	6	5,450,491	09/1995	McNAIR			
	7	5,467,403	11/1995	FISHBINE, et al.			
	8	5,473,144	12/1995	MATHURIN Jr.			
	9	5,513,272	04/1996	BOGOSIAN, et al.			
	10	5,566,327	10/1996	SEHR			
	11	5,594,806	01/1997	COLBERT			
	12	5,623,552	04/1997	LANE			
	13	5,648,648	07/1997	CHOU, et al.			
	14	5,712,473	01/1998	NAGASHIO			
	15	5,719,950	02/1998	OSTEN, et al.			
	16	5,777,903	07/1997	PIOSENKA, et al.			
	17	5,801,367	09/1998	ASPLUND, et al.			
	18	5,815,252	09/1998	PRICE-FRANCIS			
	19	5,825,005	10/1998	BEHNKE			
	20	5,870,723	02/1999	PARE Jr., et al.			
	21	5,960,100	09/1999	HARGROVE			
	22	6,012,636	01/2000	SMITH			
	23	6,041,410	03/2000	HSU, et al.			
	24	6,104,922	08/2000	BAUMANN			
	25	6,122,737	09/2000	BJORN, et al.			
	26	6,163,771	12/2000	WALKER, et al.			
	27	6,167,517	12/2000	GILCHRIST, et al.			
	28	6,219,439	04/2001	BURGER			
	29	6,230,148	05/2001	PARE Jr., et al.			
	30	6,282,304	08/2001	NOVIKOV, et al.			
	31	6,317,834	11/2001	GENNARO, et al.			
	32	6,325,285	12/2001	BARATELLI			
	33	6,394,343	05/2002	BERG, et al.			

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes/No/Abstract

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, etc.)

A	RSA Security Website Page, <a href="http://www.rsasecurity.com/">http://www.rsasecurity.com/</a> (2004)
B	CryptoCard Website Page, <a href="http://www.cryptocard.com/">http://www.cryptocard.com/</a> (2004)
C	Rainbow Technologies Website Page, <a href="http://www.rainbow.com/">http://www.rainbow.com/</a> (2004)
D	Secure Computing Website Page, <a href="http://www.securecomputing.com/">http://www.securecomputing.com/</a> (2004)
E	ActivCard Website Page, <a href="http://www.activcard.com/">http://www.activcard.com/</a> (2004)
F	VASCO Website Page, <a href="http://www.vasco.com/">http://www.vasco.com/</a> (2004)
G	Biometric Associates, Inc. Website Page, <a href="http://www.biometricassociates.com/">http://www.biometricassociates.com/</a> (2004)
H	IDTEK Website Page, <a href="http://www.idtek.com/">http://www.idtek.com/</a> (2004)
I	Kinetic Sciences, Inc. Website Page, <a href="http://www.kinetic.bc.ca/">http://www.kinetic.bc.ca/</a> (2004)

Examiner Signature:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).